# **Chapter 1 Lie Groups and Lie Algebras**

## 1.1 Manifolds

Given manifolds  $M_1, M_2, M_3$  and maps  $f: M_1 \to M_2, g: M_2 \to M_3$ , the pullback of g under f is the map  $f^*g: M_1 \to M_3$  defined by

$$f^*g = g \circ f \tag{1.1}$$

In particular, if  $M_3 = \mathbb{R}$ , the pullback of g under f is a function on  $M_1$ .

Given two manifolds  $M_1$  and  $M_2$  with a smooth map  $f: M_1 \to M_2, p \mapsto q$ , the pushforward of a vector  $v \in T_p M_1$  is a vector  $f_*v \in T_q M_2$  defined by

$$f_*v(g) = v(g \circ f) \tag{1.2}$$

for all smooth functions  $g:M_2\to\mathbb{R}$ . Thus we can write

$$f_*v(g) = v(g \circ f) = v(f^*g) \tag{1.3}$$

Let  $\xi$  be a vector field on M. An integral curve of  $\xi$  is a differentiable curve  $\gamma_{\xi}:[a,b]\to M$  such that

$$\partial_t \gamma_{\xi}(t) = T_t \gamma_{\xi}(\partial_t) = \xi_{\gamma(t)} \tag{1.4}$$

4

Provided an initial condition, such as  $\gamma_{\xi}(0) = x$ , is specified it has a unique solution. A flow combines all these solutions for different initial conditions.

The flow  $\phi_t(x)$  of the vector field  $\xi$  on M is given by the unique integral curve  $\gamma_{\xi}(t)$  with the initial condition  $\phi_0(x) = x$ . We have the following properties

- 1.  $\phi_s \circ \phi_t = \phi_{s+t}$
- 2.  $\partial_t \phi_t(x)|_{t=0} = \xi_x$

# 1.2 Lie Groups and Lie Algebras

## Lie Groups

### **Definition 1.1.**

A Lie group is a smooth manifold G which is also a group and such that the group product

$$G\times G\to G$$

and the inverse map is smooth. A connected Lie group is called an analytic group.

A group representation is a group homomorphism  $\rho:G\to GL(V)$ . Since GL(V) is also Lie group. Hence, we should think of representations of Lie groups G as Lie group morphisms  $\rho:G\to GL(V)$  into the specific Lie group GL(V), where Lie group morphisms means that

1.  $\rho$  is a differential map, maintains the differential manifold structure

2.  $\rho$  is a group homomorphism, maintains the group structure

A vector field  $\xi$  on G is called left-invariant if TxLg(x) = gx for all x; g 2 G. Equivalently, this can also be written as g = .

## Lie Algebras

### **Definition 1.2.**

A Lie algebra is a vector space  $\mathfrak g$  endowed with a bracket operation  $[\cdot,\cdot]$  with the following properties

- 1.  $[\cdot,\cdot]$  is bilinear
- 2. Anti-symmetry: [X, Y] = -[Y, X]
- 3. Jacobi identity: [X, [Y, Z]] + [Z, [X, Y]] + [Y, [Z, X]] = 0

Two elements X and Y of a Lie algebra  $\mathfrak g$  commute if [X,Y]=0. A Lie algebra  $\mathfrak g$  is commutative if  $\forall X,Y\in \mathfrak g$  we have [X,Y]=0.

## Representation of Lie Algebra

Let  $\mathfrak g$  be a Lie algebra over k, and V a vector space over k, not necessarily finite-dimensional. A representation of a Lie algebra  $\mathfrak g$  is a linear map  $r:\mathfrak g\to \operatorname{End}(V)$ , which maps  $\mathfrak g$  into the vector space of all endomorphisms of V,  $\operatorname{End}(V)$ , such that

$$r([X,Y]) = [r(X),r(Y)] \\$$

for all  $X,Y\in\mathfrak{g}$ . The dimension of V is called the degree of r. r is said to be the trivial representation if  $\dim V=1$  and r(X)=0 for all  $X\in\mathfrak{g}$ .

## Theorem 1.1. E

ery finite dimensional Lie algebra has a faithful matrix representation.

## $\Diamond$

## **Definition 1.3. A**

inite-dimensional representation of a group or Lie algebra is said to be completely reducible if it is isomorphic to a direct sum of a finite number of irreducible representations.

### **Structure Constants**

In physics applications, it is common to introduce a basis  $(\xi_1, \dots, \xi_n)$  on a Lie algebra  $\mathfrak{g}$ . The commutator  $[X_i, X_j] \in \mathfrak{g}$  must be a linear combination of these basis vectors.

$$[X_i, X_j] = c_{ij}{}^k X_k \tag{1.5}$$

The constants  $c_{ij}^{\ k}$  are called the structure constants of the Lie algebra  $\mathfrak{g}$ . In such basis the representation can be written as

$$r([X_i, X_j]) = [r(X_i), r(X_j)] = c_{ij}^k r(X_k)$$
(1.6)

## Lie Algebra of A Lie Group

A left translation, or right translation by an element  $g \in G$  is the diffeomorphism

$$L_g: G \to G \quad h \mapsto gh$$
  
 $R_g: G \to G \quad h \mapsto hg^{-1}$  (1.7)

Left (or right) invariant if

$$L_a^* f = f (1.8)$$

where  $L_g^*$  is the pull back of  $L_g$ . Of course, this just means that f is constant, because if g=1 we have

$$L_a^* f(1) = L_e^* f(1) = f(e)$$
(1.9)

A vector field  $\xi$  on G is left (or right) invariant if

$$(L_g)_* \xi_x = \xi_{gx}$$
  
 $(R_g)_* \xi_x = \xi_{xg^{-1}}$ 
(1.10)

for all  $g,x\in G$ . And if we have two left invariant vector fields  $\xi,\eta$  on G, then

$$(L_q)_*[\xi,\eta] = [(L_q)_*\xi,(L_q)_*\eta] = [\xi,\eta]$$
(1.11)

### Theorem 1.2. L

t G be a Lie group and L(G) its Lie algebra. Then the map

$$\phi: L(G) \to T_1G, \xi_x \mapsto \xi_1$$

is a linear isomorphism, or we can say  $L(G) \cong T_1G$ . In particular

$$dim G = dim L(G)$$

 $\Diamond$ 

**Proof** Because the vector field  $\xi$  is left invariant,

$$(L_x)_*\xi_1 = \xi_x$$

the map  $\phi$ 

For all  $g \in G$ , we can use  $g^{-1}$  to move g to the indentity 1, and use  $T_{g^{-1}}G$  to move the corresponding tangent sapce to the indentity 1. This means that the analytic structure is same everywhere on the manifold. Now we can define the Lie algebra  $\mathfrak{g} = L(G)$  of a Lie group G to be the Lie algebra of left invariant vector fields on G.

## 1.3 The Exponential Map

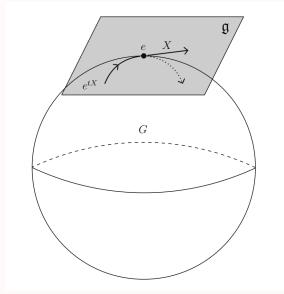


Figure 1.1: Lie algebra and exponential map

## The Exponential Map

Motivations: consider an integral curve with the initial condition  $\gamma(0)=g$ , we can differentiate it at point g to get the corresponding tangent vector

$$\left. \frac{\partial}{\partial t} \right|_{t=0} \gamma(t) = X$$

Conversly we can define the inverse mapping, the exponential map, to get a point from a tangent vector, which means that we are going to define a map from the Lie algebra into the Lie group

$$\exp: L(G) \to G \tag{1.12}$$

and by Thm 1.2 we have  $L(G) \cong T_1G$ , so  $T_1G$  gives us an left invariant vector field  $\xi$  on G.

## **Definition 1.4.**

The Lie algebra L(G) gives us an left invariant vector field  $\xi$  on G with  $v = \xi_1$ . So we have an integral curve  $\gamma_{\xi}$  with initial condition  $\gamma_v(0) = 1$ . Then, the exponential map  $\exp: L(G) \to G(or\ T_1G \to G)$  is defined by

$$\exp(v) = \gamma_v(1) \tag{1.13}$$

With the initial condition, we have

$$\gamma_v(s)\gamma_v(t) = \gamma_v(s+t)$$
  $\gamma_{tv}(s) = \gamma_v(ts)$  (1.14)

So for  $\forall X \in L(G)$ , we have the following properties

- 1.  $\exp(tX) \exp(sX) = \exp[(s+t)X]$
- 2.  $\exp(X) \exp(-X) = 1$
- 3.  $\exp(0X) = 1$
- 4.  $\partial_t|_{t=0} \exp(tX) = X$

4

The exponential map brings the structure fo addition in Lie algebra to group multiplication.

$$\partial_t|_{t=0} \exp(X+Y) = X+Y \tag{1.15}$$

### Theorem 1.3. T

e exponential map has the following properties

- 1. The exponential map is differentiable at the origin and  $T_0 \exp = id_{L(G)}$
- 2. The exponential map satisfies  $F \circ \exp = \exp' \circ T_1 F$  for a group homomorphism  $F: G \to G'$

## **Proof** (1)

One way to think about Lie algebras related to the classical groups is as infinitesimal group elements. Since Lie groups are manifolds we can consider a neighborhood of the identity element 1. In this neighborhood the manifold looks like a linear space, namely, the tangent space  $T_1G$ 

## 1.4 The Adjoint Representation

## **Definition 1.5.**

The adjoint representation of a Lie group G is a representation  $Ad: G \to \mathfrak{g}$  of the Lie group on its own Lie algebra  $\mathfrak{g} \cong T_eG$  defined by

$$Ad_q(x) = gxg^{-1}$$

The map  $Ad: g \mapsto Ad_g$  is the adjoint representation of G

We can check the map is a homomorphisim

$$Ad_{g_1g_2}(x) = g_1g_2x(g_1g_2)^{-1} = g_1(g_2xg_2^{-1})g_1^{-1} = Ad_{g_1}Ad_{g_2}(x)$$
(1.16)

### **Definition 1.6.** T

e adjoint representation of Lie algebra  $\mathfrak g$  is a representation  $ad:\mathfrak g\to End(\mathfrak g),$  defined as

$$ad = T_1Ad$$

### Theorem 1.4. I

 $\mathfrak{g}$  is a Lie algebra and X is an element of  $\mathfrak{g}$ , the representation of  $\mathfrak{g}$  has the form

$$ad_X(Y) = [X, Y]$$

for all  $Y \in \mathfrak{g}$ 

Suppose we choose a basis  $\{X_i\}$  on L(G) and we want to work out the representation matrices of ad relative to this basis.

$$\operatorname{ad}_{X_i} X_j = [X_i, X_j] = c_{ij}{}^k X_k$$
 (1.17)

hence, the representation matrices relative to this basis are given by the structure constants

$$\left[\operatorname{ad}_{X_{i}}\right]_{j}^{k} = c_{ij}^{k} \tag{1.18}$$

 $\Diamond$ 

## 1.5 Lie's Theorem

#### Theorem 1.5.

The relation between Lie group and Lie algebra

- 1. Every finite dimensional Lie algebra  $\mathfrak g$  arises from a unique (up to isomorphism) connected and simply connected Lie group G
- 2. Under this correspondence, Lie group homomorphisms  $\Phi: G_1 \to G_2$  are in 1 1 correspondence with Lie algebra homomorphisms  $\phi: T_1G_1 \to T_1G_2$  such that

$$\Phi(e^{tX}) = e^{t\phi(X)}$$

for all  $t \in R$  and  $X \in \mathfrak{g}$ .

**Proof** The proof was given by Lie, which is too complicated for me.

## 1.6 Matrix Lie Groups

Many of the Lie groups in physics are matrix Lie groups. If G is a matrix group, that is, a Lie subgroup of GL(n,k) then the Lie algebra associated to the Lie group G is

$$L(G) = \{X = \partial_t|_0 \gamma(t)|\gamma(t) \text{ integral curves with initial condition } \gamma(0) = 1\}$$
 (1.19)

And we can use continuous parameter  $t = (t^1, \dots, t^k)$  to discribe the matrix Lie group.

For matrix we have a useful identity

$$\det(\exp(A)) = \exp(\operatorname{Tr} A) \tag{1.20}$$

If G is a simply connected matrix Lie group with Lie algebra g, every representation of L(G) comes from a representation of G.

## **Vector fields**

Vector fields  $\xi$  on the matrix Lie group can be written as

$$\xi(t) = \xi^{i}(t)\partial_{i} = \xi^{i}(t)\frac{\partial g_{\mu}^{\nu}}{\partial t^{i}}\frac{\partial}{\partial g_{\mu}^{\nu}} = \xi^{i}(x)\operatorname{Tr}\left(\frac{\partial g}{\partial t^{i}}\frac{\partial}{\partial g^{T}}\right)$$
(1.21)

where the last expression is just a short-hand for the one with indices in the middle

### Generators

Motivation: Consider the definition of exponential maps

$$g(t) = \exp(tA) \tag{1.22}$$

So the generator of matrix Lie group G is defined by

$$T_i = \frac{\partial}{\partial t^i} \bigg|_{t=0} g(t) \tag{1.23}$$

and we can expand the group matrices near the identity as

$$g(t) = 1 + T_i t^i + O(t^2) (1.24)$$

## Lie Algebra of Matrix Lie Group

We just need to find the tangent space at identity

$$\xi(0) = \xi^{i}(x) \operatorname{Tr} \left( \frac{\partial g}{\partial t^{i}} \frac{\partial}{\partial g^{T}} \right) \Big|_{t=0} = \xi^{i}(0) \operatorname{Tr} \left( T_{i} \frac{\partial}{\partial g^{T}} \right)$$
(1.25)

so we have

$$L(G) \cong T_1 G \cong \operatorname{Span}(\{T_i\}) \tag{1.26}$$

the Lie algebra of matrix Lie group is the vector space of matrices spanned by the generators.

### The Matrix Left-invariant Vector Fields

The left-translation,  $L_q(x) = gx$  on x is just matrix multiplication

$$(gx)_{\mu}{}^{\nu} = g_{\mu}{}^{\tau}x_{\tau}{}^{\nu} \Rightarrow (T_{x}L_{g})_{\mu\nu}{}^{\tau\sigma} = \frac{\partial(gx)_{\mu}{}^{\tau}}{\partial x_{\sigma}{}^{\nu}} = g_{\mu}{}^{\rho}\delta_{\rho}{}^{\tau}\delta_{\nu}{}^{\sigma} = g_{\mu}{}^{\tau}\delta_{\nu}{}^{\sigma}$$
(1.27)

For a left-invariant vector field  $\xi_g$ , we have  $\xi_{gx}=T_xL_g\xi_x$ . Consider

$$\xi^{i}(gx)\frac{\partial}{\partial t^{i}} = (T_{x}L_{g})_{\mu\nu}{}^{\tau\sigma}\xi(x)$$
(1.28)

## **The Matrix Exponential**

To translate the exponential map into the language of generators, we can consider a left-invariant vector field  $\xi^i=v^j\xi^i_j$  with the associated generator  $T=v^iT_i$ . The integral curve  $t_i=t_i(s)$  and  $\gamma_v(s)=g(t(s))$  of this left-invariant vector field satisfy the differential equation

$$\frac{dt^{i}}{ds} = v_{j}\xi_{i}^{j} \Rightarrow \frac{d\gamma_{v}}{ds} = \frac{\partial g}{\partial t^{i}}\frac{dt^{i}}{ds} = T_{i}gv^{i}$$
(1.29)

The matrix exponential can be written as

$$e^X = \sum_n \frac{1}{n!} X^n$$

# 1.7 Complexifications

## Definition 1.7.

If V is a finite-dimensional real vector space, then the complexification of V, denoted  $V_{\mathbb{C}}$ , is the space of formal linear combinations

$$v_1 + iv_2$$

with  $V_1, v_2 \in V$ 

Mathematically, we could more pedantically define  $V_{\mathbb{C}}$  to be the space of ordered pairs  $(v_1, v_2)$ , but this is notationally cumbersome.

## **Proposition 1.1**

Let  $\mathfrak g$  be a finite-dimensional real Lie algebra and  $\mathfrak g_{\mathbb C}$  its complexification. Then the bracket operation on  $\mathfrak g$  has a unique extension to  $\mathfrak g_{\mathbb C}$  that makes  $\mathfrak g_{\mathbb C}$  into a complex Lie algebra. The complex Lie algebra  $\mathfrak g_{\mathbb C}$  is called the complexification of the real Lie algebra  $\mathfrak g$ .

**Proof** The uniqueness of the extension is obvious, since if the bracket operation on  $\mathfrak{g}_{\mathbb{C}}$  is to be bilinear, then it must be given by

$$[X_1 + iX_2, Y_1 + iY_2] = ([X_1, Y_1] - [X_2, Y_2]) + i([X_1, Y_2] + [X_2, Y_1])$$

To show existence, we have to check the 3 properties of Lie algebra. But I do not want to write it down.

#### **Proposition 1.2.**

Let  $\mathfrak g$  be a real Lie algebra,  $\mathfrak g_{\mathbb C}$  its complexification, and  $\mathfrak h$  an arbitrary complex Lie algebra. Then every real Lie algebra homomorphism of  $\mathfrak g$  into  $\mathfrak h$  extends uniquely to a complex Lie algebra homomorphism of  $\mathfrak g_{\mathbb C}$  into  $\mathfrak h$ .

**Proof** The unique extension is given by  $\phi(X + iY) = \phi(X) + i\phi(Y)$ . It is easy to check that this map is, indeed, a homomorphism of complex Lie algebras.